

SPW RCE DAC A 1624 \$

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# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Address to:  
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P.O. Box 1450  
Alexandria, VA 22313-1450

Application Number	10/006,215
Filing Date	October 26, 2001
First Named Inventor	Kevin K. Liu, et al.
Art Unit	1624
Examiner Name	Hong Liu
Attorney Docket Number	17352 (PC11053A)

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.

Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

## 1. Submission required under 37 CFR 1.114

Note: If the RCE is proper, any previously filed unentered and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

- a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
- i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- ii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_\_

## 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. ☐ Other \_\_\_\_\_

## 3. Fees

The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 19-1013/SSMP
- i. ☒ RCE fee required under 37 CFR 1.17(e)
- ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)
- iii. ☒ Other Petition for Revival fee enclosed
- b. ☒ Check in the amount of \$ 770.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print / Type)	Peter I. Bernstein	Registration No. (Attorney / Agent)	43,497
Signature		Date	July 28, 2004

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print / Type)	Peter I. Bernstein	Date	July 28, 2004
Signature			

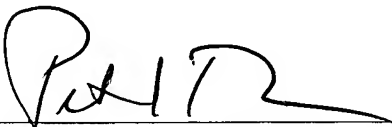
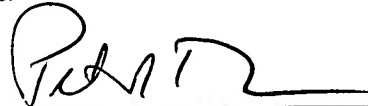
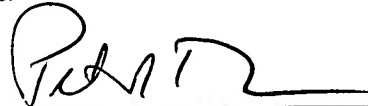
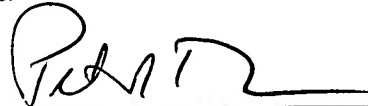
This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing the burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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<b>AMENDMENT TRANSMITTAL LETTER (Large Entity)</b>				Docket No. <b>17352 (PC11053A)</b>							
Applicant(s): <b>Kevin K. Liu, et al.</b>											
Application No. <b>10/006,215</b>	Filing Date <b>October 26, 2001</b>	Examiner <b>Hong Liu</b>	Customer No. <b>23389</b>	Group Art Unit <b>1624</b>	Confirmation No. <b>8104</b>						
Invention: <b>GLUCOCORTICOID RECEPTOR MODULATORS</b>											
<b>COMMISSIONER FOR PATENTS:</b>											
Transmitted herewith is an amendment in the above-identified application.											
The fee has been calculated and is transmitted as shown below.											
<b>CLAIMS AS AMENDED</b>											
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE						
TOTAL CLAIMS	14 -	41 =	0 x	\$18.00	\$0.00						
INDEP. CLAIMS	1 -	3 =	0 x	\$86.00	\$0.00						
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00						
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>					<b>\$0.00</b>						
<input checked="" type="checkbox"/> No additional fee is required for amendment. <input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____ <input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed. <input checked="" type="checkbox"/> The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. <b>19-1013/SSMP</b> <input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16. <input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17.											
 _____ Signature			Dated: <b>July 28, 2004</b>								
<b>Peter I. Bernstein</b> Registration No. 43,497 <b>SCULLY, SCOTT, MURPHY &amp; PRESSER</b> 400 Garden City Plaza Garden City, NY 11530 (516)742-4343			<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="2">I certify that this document and fee is being deposited on <b>July 28, 2004</b> with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</td> </tr> <tr> <td colspan="2" style="text-align: center;">             Signature of Person Mailing Correspondence         </td> </tr> <tr> <td colspan="2" style="text-align: center;"> <b>Peter I. Bernstein</b>            Typed or Printed Name of Person Mailing Correspondence         </td> </tr> </table>			I certify that this document and fee is being deposited on <b>July 28, 2004</b> with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.		 Signature of Person Mailing Correspondence		<b>Peter I. Bernstein</b> Typed or Printed Name of Person Mailing Correspondence	
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<b>Peter I. Bernstein</b> Typed or Printed Name of Person Mailing Correspondence											
PIB/RLB:nis CC:											



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Kevin L. Liu, et al.

**Examiner:** Hong Liu

**Serial No:** 10/006,215

**Art Unit:** 1624

**Filed:** October 26, 2001

**Docket:** 17352 (PC11053A)

**For:** GLUCOCORTICOID RECEPTOR  
MODULATORS

**Dated:** July 28, 2004

**Confirmation No.: 8104**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE UNDER 37 C.F.R. §1.114**

Sir:

In response to the Advisory Action dated April 7, 2004, and in accordance with the provisions of 37 C.F.R. § 1.114, the Applicants submit the following amendment for entry in the above-identified case.

There are no Amendments made to the Specification.

**Amendments to the Claims** begin on page 2 of this paper.

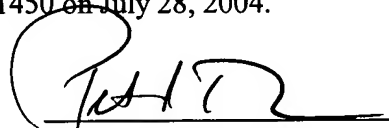
**Remarks** begin on page 7 of this paper.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

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Dated: July 28, 2004

  
Peter I. Bernstein